

13PTD
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Attorney Docket No. 047434.00066

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Takashi Nagase, et al.	Examiner:	Michael Aboagye
Serial No.: 10/785,152	Art Unit:	1725
Filed: February 24, 2004	Confirmation No.:	8157
Title: Soldering Iron Tip and Method of Manufacturing Same		

Attn: Mail Stop 16
Director of the U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

REQUEST FOR REFUND

Dear Sir:

Applicants respectfully request a refund of \$230.00 via a credit to Deposit Account No.

05-0150 for the following reasons.

Applicants erroneously paid the large entity fee of \$460 with the paper filed on November 30, 2007 (Amendment after Non-Final Rejection with a two-month extension request). The assignee of the present application was at the time of payment and is still now entitled to small entity status. Therefore, Applicants were required to pay only half of \$460 and should be given a refund of \$230.

Attached herewith is a copy of the Electronic Acknowledgement Receipt (see Exhibit A) indicating payment of \$460 under attorney docket number 47434.66. Also attached is a Deposit Account Statement showing the charge applied by the Office on December 3, 2007 to Deposit Account No. 05-0150 for the paper filed November 30, 2007 under attorney docket number 47434.66 (see Exhibit B, page 1), which also evidences payment of \$460. Applicants claimed small entity status at box 2 of the Utility Patent Application Transmittal filed February 24, 2004, of which an

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Attorney Docket No. 047434.00066

annotated copy is enclosed for reference (Exhibit C). There has been no change from small entity to large entity since February 24, 2004.

Please do not hesitate to contact the undersigned attorney with any comments or questions.

Date: May 16, 2008

SQUIRE, SANDERS & DEMPSEY L.L.P.
One Maritime Plaza, Suite 300
San Francisco, CA 94111
Telephone (415) 954-0241
Facsimile (415) 393-9887

Respectfully submitted,

/Norman Morales/
Norman Morales
Attorney for Applicant
Reg. No. 55,436

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Attorney Docket No. 047434.00066

REQUEST FOR REFUND

EXHIBIT A

2008 MAY 19 PM 2:46

Electronic Acknowledgement Receipt

EFS ID:	2533143
Application Number:	10785152
International Application Number:	
Confirmation Number:	8157
Title of Invention:	Soldering Iron tip and method of manufacturing same
First Named Inventor/Applicant Name:	Takashi Nagase
Customer Number:	44955
Filer:	Norman L. Morales
Filer Authorized By:	
Attorney Docket Number:	47434.66
Receipt Date:	30-NOV-2007
Filing Date:	24-FEB-2004
Time Stamp:	18:30:34
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$460
RAM confirmation Number	3535
Deposit Account	050150
Authorized User	

File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part / .zip	Pages (if appl.)
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1	Amendment - After Non-Final Rejection	47434_66response.pdf	135836 15878e4b94b1c0e72d0d1c2b2543a19 0a7d75d	no	17
Warnings:					
Information:					
2	Drawings-only black and white line drawings	47434_66draw.pdf	26423 d6c34a2b2264c57ed54a9642c0b4493 31e73b18	no	1
Warnings:					
Information:					
3	Fee Worksheet (PTO-06)	fee-info.pdf	8150 0e045c5a626c0c3779109c183a2f033 e702c0080	no	2
Warnings:					
Information:					
Total Files Size (in bytes):					170409

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

DIVISION

2008 MAY 19 PM 2:46

Attorney Docket No. 047434.00066

REQUEST FOR REFUND

EXHIBIT B

United States
Patent and
Trademark OfficeDeposit Account Statement

Requested Statement Month: December 2007
Deposit Account Number: 050150
Name: SQUIRE, SANDERS AND DEMPSEY L.L.P.
Attention: MARC A SOCKOL
Street Address 1: 600 HANSEN WAY
Street Address 2:
City: PALO ALTO
State: CA
Zip: 94304-1043
Country: UNITED STATES

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
12/03	6639	10785152	47434.66	1252	\$460.00	\$52,562.00
12/03	15	11938256	64676.60	4011	-\$75.00	\$52,637.00
12/03	16	11938256	64676.60	2111	-\$255.00	\$52,892.00
12/03	17	11938256	64676.60	2311	-\$105.00	\$52,997.00
12/03	18	11938256	64676.60	2201	-\$105.00	\$53,102.00
12/03	19	11938256	64676.60	1011	\$310.00	\$52,792.00
12/03	22	11938256	64676.60	1201	\$210.00	\$52,582.00
12/03	20	11938256	64676.60	1111	\$510.00	\$52,072.00
12/03	21	11938256	64676.60	1311	\$210.00	\$51,862.00
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12/05	4454	11233590	61994.00050	1801	\$810.00	\$65,652.00
12/06	4144	11951072	88644.36	1011	\$310.00	\$65,342.00
12/06	4145	11951072	88644.36	1111	\$510.00	\$64,832.00
12/06	4146	11951072	88644.36	1311	\$210.00	\$64,622.00
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12/06	7248	11951327	88644.33	1201	\$210.00	\$64,202.00
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12/07	1307	11951327		8021	\$40.00	\$63,342.00
12/10	13968	61012551	101640.2	2005	\$105.00	\$63,237.00
12/11	5213	10816231	47434.57	2501	\$720.00	\$62,517.00
12/11	5214	10816231	47434.57	1504	\$300.00	\$62,217.00
12/11	8177	10141452	HAL 215	1251	\$120.00	\$62,097.00
12/12	8928	10700984	36701.00072	1501	\$1,440.00	\$60,657.00
12/12	8931	10700984	36701.00072	1504	\$300.00	\$60,357.00
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12/18 7963	11958326	86430.1	2202	\$300.00	\$57,567.00
12/19 1	11574727	87914.00003	2616	-\$180.00	\$57,747.00
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12/19 7038	11959200	88644.38	1311	\$210.00	\$56,717.00
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12/20 4	10631228	50623.251	1202	\$50.00	\$56,627.00
12/20 7681	10711518	61994.00017	1251	\$120.00	\$56,507.00
12/20 8181	11960594	61994.61	1011	\$310.00	\$56,197.00
12/20 8183	11960594	61994.61	1311	\$210.00	\$55,987.00
12/20 8182	11960594	61994.61	1111	\$510.00	\$55,477.00
12/20 8256	10912025	50623.437	1501	\$1,440.00	\$54,037.00
12/20 8257	10912025	50623.437	1504	\$300.00	\$53,737.00

START	SUM OF	SUM OF	END
BALANCE	CHARGES	REPLENISH	BALANCE
\$53,022.00	\$17,435.00	\$18,150.00	\$53,737.00

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EXHIBIT C

17157 U.S. PTO
022404

USPTO
ACCOUNTING

PTO/SB/05 (03-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 47434-00066

First Inventor Takashi Nagase

Title SOLDERING IRON TIP AND METHOD OF
MANUFACTURING SAME

Express Mail Label No. EV410063289US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit on original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification (Total Pages 53)
(Preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) (Total Sheets 15)
5. ☒ Oath or Declaration (Total Pages 3)
 - a. ☐ Newly executed (original or copy)
 - b. ☒ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - c. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☒ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Application Title Page; Certificate of Mailing

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No. 10,719,001

Prior application information:

Examiner: To be assigned

Group Art Unit: To be assigned

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label (Insert Customer No. or Attach bar code label here) or ☒ Correspondence address below

Name Douglas N. Larson
Squire, Sanders & Dempsey, L.L.P.
Address 801 S. Figueroa Street, 14th Floor
City Los Angeles State CA Zip Code 90017
Country United States Telephone (213) 689-5142 Fax (213) 623-4851

Name (Print/Type) Douglas N. Larson Registration No. (Attorney/Agent) 29,401
Signature [Signature] Date February 24, 2004

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

1920 U.S. PTO
10719001

022404

Electronic Patent Application Fee Transmittal

Application Number:

10785152

Filing Date:

24-Feb-2004

06/04/2008 HDESTA1 00000004 050150 10785152

01 FC:2252 230.00 DA

Title of Invention:

Soldering iron tip and method of manufacturing same

Adjustment date: 06/04/2008 HDESTA1
12/03/2007 INKFSW 00003535 050150 10785152
01 FC:1252 460.00 CR

First Named Inventor/Applicant Name:

Takashi Nagase

Filer:

Norman L. Morales/Danielle Peregory

Attorney Docket Number:

47434.66

Filed as Large Entity

Utility Filing Fees

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:				
Pages:				
Claims:				
Miscellaneous-Filing:				
Petition:				
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				
Extension - 2 months with \$0 paid	1252	1	460	460